



CITY OF LODI

COUNCIL COMMUNICATION

AGENDA TITLE: Supplemental 1992/93 Transportation Development Act (TDA) Claim
MEETING DATE: January 6, 1993
PREPARED BY: Assistant City Manager

RECOMMENDED ACTION: The City Council adopt the attached claim and direct staff to submit this to the San Joaquin County Council of Government (COG) for final approval.

BACKGROUND INFORMATION: It is necessary for COG to give final approval in order to receive the City's allocation of transit monies available through the Transportation Development Act (TDA).

This year we are submitting a claim for \$1,220,297 of local transportation funds and \$97,298 of State transit assistance funds. Of the total amount claimed, \$1,317,595, \$505,950 is for transit service. The remainder, \$817,645, is available for street and road projects.

The transit portion of the claim is in three parts - operations, capital, and taxi service.

Operations	\$349,800
Capital	150,850
Taxi	5,300
	<u>\$505,950</u>

This year is somewhat unique in that in the early part of the year we were purchasing services from City Cab Company and providing subsidized rides through City Cab Company's taxi service.

The capital expenditures are greater than normal in that we only purchased one vehicle last year. This budget includes the purchase of four vehicles, two minibuses, one van, and one station wagon. The station wagon was purchased earlier this fiscal year and is in service. Bid specifications for the minibuses and minivan should be to Council on February 3, 1993. Also included are new radios and upgrade of the telephone system.

APPROVED

THOMAS A. PETERSON
City Manager



recycled paper

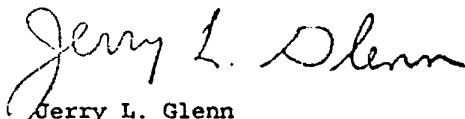
Supplemental 1992/93 Transportation Development Act (TDA) Claim
January 6, 1993

Included in the operation budget is 50% of the salary and benefits of an Administrative Assistant to the City Manager, Ms. Janet Keeter, and 10% of my salary and benefits. Also included in the claim is an amount of \$7,000 to hire the services of a consultant to provide assistance and direction in preparing our claim for federal dollars. There are a number of reporting requirements which are different and more complex than required by the San Joaquin Council of Government (COG). It is our plan to have the consultant assist Ms. Keeter in the preparation of the federal grant and setting in place the appropriate record keeping and monitoring procedures. We anticipate this being a one-time cost.

The City Council is respectfully requested to approve this claim and direct staff to forward it to COG for final approval and distribution of funds.

FUNDING: Transportation and Development Act

Respectfully submitted,



Gerry L. Glenn
Assistant City Manager

JLG:br

Attachment

CCCOM681/TXTA.07A

RESOLUTION NO. 93-03

=====

A RESOLUTION OF THE LODI CITY COUNCIL
APPROVING THE SUPPLEMENTAL 1992/93
TRANSPORTATION DEVELOPMENT ACT CLAIM
FOR LOCAL TRANSPORTATION FUNDS

=====

BE IT RESOLVED, that the Lodi City Council does hereby approve the City's Supplemental 1992/93 Transportation Development Act (TDA) claim for Local Transportation Funds (LTF) and State Transit Assistance Funds (STA); and

BE IT FURTHER RESOLVED, that the Lodi City Council does hereby authorize the City Manager to execute the subject Claim on behalf of the City of Lodi.

Dated: January 6, 1993

=====

I hereby certify that Resolution No. 93-03 was passed and adopted by the Lodi City Council in a regular meeting held January 6, 1993 by the following vote:

Ayes: Council Members - Mann, Sieglock, Snider and Pennino
(Mayor)

Noes: Council Members - Davenport

Absent: Council Members - None


Alice M. Reimche
City Clerk

93-03

RES9303/TXTA.02J

F-5

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

7

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

A. Area Apportionment 1992-93	\$	<u>969,412</u>
B. Pedestrian/Bicycle Apportionment		<u>20,196</u>
C. Previous Years' Unclaimed Apportionment		<u></u>
D. Unexpended Carryover XXXXXXXXXXXXXXXXXXXX Streets Transit		<u>194,662</u>
E. Total Available for 1992-93 Claim(s)		<u>1,220,297</u>
F. Less any LTF Already Claimed 1992-93		<u>-0-</u>
G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 1st column)	\$	<u>1,220,297</u>

II. State Transit Assistance Fund Available Apportionment

A. Area Apportionment 1992-93	\$	<u>46,587</u>
B. Special Operator Apportionment 1992-93		<u>1,124</u>
C. Previous Years' Unclaimed Apportionment		<u>-0-</u>
D. Unexpended Carryover		<u>50,519</u>
E. Minus 2% of A. Claimed on Behalf of COG for Transit Planning		<u>(932)</u>
F. Total Available for 1992-93 Claim(s)		<u>97,298</u>
G. Less any STA Already Claimed 1992-93		<u>-0-</u>
H. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 2nd column)	\$	<u>97,298</u>

7

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

<u>Claim Purpose</u>	<u>I. LTF</u>	<u>II. STA</u>
I. PUBLIC TRANSPORTATION		
Article 4 (99260)-Operator ¹	<u>321,657</u>	<u>97,298</u>
Article 8 (99400(c)) Contractor operating	<u>5,300</u>	<u></u>
Article 8 (99400(e)) Contractor capital	<u></u>	<u></u>
II. PEDESTRIAN AND BICYCLE		
Article 3 (99234)	<u>21,000</u>	<u>N/A</u>
III. ROADS AND STREETS		
Article 8 (99400(a))	<u>872,340</u>	<u>N/A</u>
IV. OTHER		
Article 8 (99400(b) or 99400(d))	<u></u>	<u>N/A</u>
////////////////////////////////////		
TOTAL THIS CLAIM	<u></u>	<u>97,298</u>
TOTAL AVAILABLE FOR THIS CLAIM (from page 7, I. and II. G.)	<u>1,220,297</u>	<u>97,298</u>
UNCLAIMED APPORTIONMENT (TOTAL AVAIL. less TOTAL THIS CLAIM)	<u>-0-</u>	<u>-0-</u>

IMPORTANT: To avoid accidental overpayment, please identify in the space below any unexpended carryover included in the amounts being claimed above. Identify the amount of carryover and the purpose for which it is being reclaimed.

Transit operations ..

1. Operators claiming STA funds must meet qualifying criteria (PUC Section 99314.6). Page 17 of this form must be completed.

PART I - PUBLIC TRANSPORTATION

Please Circle either:

Article 4 Operator

~~Article 8 Contractor~~

FINANCIAL INFORMATION

		1991-92	1992-93
		Please Circle	
		ACTUAL or ESTIMATE	Budget
I.	OPERATING REVENUE		
401	Passenger Fares	<u>44,394</u>	<u>49,395</u>
402	Special Transit Fares	<u> </u>	<u>4,500</u>
405	Charter Service Revenues	<u> </u>	<u> </u>
406	Auxiliary Transportation Revenues (includes advertising)	<u> </u>	<u> </u>
407	Non-Transportation Revenues	<u>7,716</u>	<u>5,000</u>
408	Tax Revenue (Specify:)		
	Property Tax	<u> </u>	<u> </u>
	Sales Tax (not TDA)	<u> </u>	<u> </u>
409	Local Grants & Reimbursements		
	Purchase of Service	<u>15,895</u>	<u>20,800</u>
	Local Transportation Fund(LTF)	<u>313,965</u>	<u>268,105</u>
410	Local Special Fare Assistance	<u> </u>	<u> </u>
411	State Cash Grants & Reimbursements		
	State Transit Assistance (STA)	<u> </u>	<u> </u>
	Other	<u> </u>	<u> </u>
412	State Special Fare Assistance	<u> </u>	<u> </u>
413	Federal Grants & Reimbursements (Specify) UMTA Grants	<u> </u>	<u> </u>
430	Contributed Services (Not Cash)	<u> </u>	<u> </u>
440	Subsidy from other Sector of Operations	<u> </u>	<u> </u>
	TOTAL	<u>381,970</u>	<u>347,800</u>
II.	CAPITAL REVENUE		
464	Capital Grants & Subsidies		
	Specify Fed, State, Local:		
	State Transit Assistance (STA)	<u>67,000</u>	<u>97,298</u>
	Local Transportation Fund (LTF)	<u>3,709</u>	<u>53,552</u>
	Non-Governmental Donations	<u> </u>	<u> </u>
	TOTAL	<u>72,701</u>	<u>150,850</u>

III. OPERATING EXPENSES

1991-92
Please Circle
Actual or Estimate 1992-93
Budget

501	Labor		
	Operators Salaries/Wages		165,865
	Other Salaries/Wages		18,100
502	Fringe Benefits		22,885
503	Services	1,255	14,700
504	Materials/Supplies		
	Fuels/Lubricants		17,000
	Tires/Tubes		2,000
	Other	14,921	31,000
505	Utilities		
506	Casualty/Liability Costs	30,473	35,000
507	Taxes		
508	Purchased Transportation Service	310,001	41,250
509	Miscellaneous Expenses	1,196	
510	Expense Transfers		
511	Interest Expense		
512	Leases and Rentals		
513	Depreciation/Amortization		
	Operator Funds		
	Grant Funds		
	TOTAL	357,846	347,800

IV. CAPITAL EXPENSES*

	Debt Service		
	Land/Property Acquisition		
	Vehicles	18,481	140,850
	Construction		
	Other		10,000
	TOTAL	18,481	150,850

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

OPERATIONAL INFORMATION*

	Actual FY 1990-91	Actual/Est. FY 1991-92	Proposed FY 1992-93
<u>1. Patronage</u>			
a. Total Passengers	85,343	89,436	94,200
COUNTY			
b. Revenue Passengers	4,213	3,460	4,000
CDBG			
c. Youth Passengers	147	1,015	1,200
GENERAL PUBLIC			
d. Elderly Passengers	8,712	3,275 (1)	9,790
ELDERLY &			
e. Handicapped Passengers	72,271	75,306 (1)	79,210
<u>2. Vehicle Miles</u>			
a. Total Vehicle Miles	178,488	184,673	193,000
b. Revenue Vehicle Miles	178,488	184,673	193,000
<u>3. Revenue Vehicle Hours</u>	14,930	16,576	17,400
<u>4. Revenue Vehicle Fuel Consumption</u>			
a. Diesel			
b. Gasoline	15,467	16,931	18,550
<u>5. Fare Structure</u>			
a. Base	1.00	1.00	1.00
b. Zone			
c. Youth			
d. Senior	.50	.50	.50
e. Handicapped	.50	.50	.50
f. Monthly Pass			
g. Other CDBG (2)	4.25	4.25	4.25
h. Average Fare	.59	not valid (1)	.66

(1) Mid year contractor discontinued separating general public from elderly and handicapped.

(2) Tickets fully paid for from CDBG funds.

*Attach additional pages as necessary to alter or complete description

PART I - PUBLIC TRANSPORTATION

Please Circle either:
Article 4 Operator
Article 8 Contractor

FINANCIAL INFORMATION

		1991-92	1992-93
		Please Circle	
		ACTUAL or ESTIMATE	Budget
I.	OPERATING REVENUE		
401	Passenger Fares	_____	_____
402	Special Transit Fares	_____	_____
405	Charter Service Revenues	_____	_____
406	Auxiliary Transportation Revenues (includes advertising)	_____	_____
407	Non-Transportation Revenues	_____	_____
408	Tax Revenue (Specify:)		
	Property Tax	_____	_____
	Sales Tax (not TDA)	_____	_____
409	Local Grants & Reimbursements		
	Purchase of Service		
	Local Transportation Fund(LTF)	29,285	5,300
410	Local Special Fare Assistance	_____	_____
411	State Cash Grants & Reimbursements		
	State Transit Assistance (STA)	_____	_____
	Other _____	_____	_____
412	State Special Fare Assistance	_____	_____
413	Federal Grants & Reimbursements (Specify) UMTA Grants	_____	_____
430	Contributed Services (Not Cash)	_____	_____
440	Subsidy from other Sector of Operations	_____	_____
	TOTAL	29,285	5,300
II.	CAPITAL REVENUE		
464	Capital Grants & Subsidies		
	Specify Fed, State, Local:		
	_____	_____	_____
	State Transit Assistance (STA)	_____	_____
	Local Transportation Fund (LTF)	_____	_____
	Non-Governmental Donations	_____	_____
	TOTAL	_____	_____

III. OPERATING EXPENSES

1991-92
Please Circle
Actual or Estimate

1992-93
Budget

501	Labor		
	Operators Salaries/Wages		
	Other Salaries/Wages		
502	Fringe Benefits		
503	Services		
504	Materials/Supplies		
	Fuels/Lubricants		
	Tires/Tubes		
	Other		
505	Utilities		
506	Casualty/Liability Costs		
507	Taxes		
508	Purchased Transportation Service	29,285	5,300
509	Miscellaneous Expenses		
510	Expense Transfers		
511	Interest Expense		
512	Leases and Rentals		
513	Depreciation/Amortization		
	Operator Funds		
	Grant Funds		
	TOTAL	29,285	5,300

IV. CAPITAL EXPENSES*

	Debt Service		
	Land/Property Acquisition		
	Vehicles		
	Construction		
	Other		
	TOTAL		

*Allowable capital expenses are limited for Article 8 claimants;
see 99400 (e).

OPERATIONAL INFORMATION*

	Actual FY 1990-91	Actual/Est. FY 1991-92	Proposed FY 1992-93
1. <u>Patronage</u>			
a. Total Passengers	18,653	19,523	3,533 .
b. Revenue / Passengers			
c. Youth Passengers			
d Elderly Passengers			
e. Handicapped Passengers			
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles			
b. Revenue Vehicle Miles			
3. <u>Revenue Vehicle Hours</u>	8,760	8,760	3,533
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Diesel			
b. Gasoline			
5. <u>Fare Structure</u>			
a. Base			
b. Zone			
c. Youth			
d. Senior			
e. Handicapped			
f. Monthly Pass			
g. Other			
h. Average Fare			

*Attach additional pages as necessary to alter or complete description

THREE YEAR FISCAL PLAN

	1993-94	1994-95	1995-96
Operating Expenses	\$ <u>345,500</u>	\$ <u>371,400</u>	\$ <u>399,250</u>
Operating Revenues:			
Sources: LTF	\$ <u>134,250</u>	\$ <u>145,900</u>	\$ <u>158,500</u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u>134,250</u>	<u>145,900</u>	<u>158,500</u>
Fares	<u>55,000</u>	<u>56,375</u>	<u>57,775</u>
General Fund	<u> </u>	<u> </u>	<u> </u>
Other	<u>27,000</u>	<u>28,350</u>	<u>29,750</u>
Total	\$ <u>696,000</u>	\$ <u>747,925</u>	\$ <u>803,775</u>
Capital Expenses	\$ <u>150,000</u>	\$ <u>165,000</u>	\$ <u>181,500</u>
Capital Revenue			
Sources: LTF	\$ <u> </u>	\$ <u> </u>	\$ <u> </u>
STA	<u>30,000</u>	<u>33,000</u>	<u>36,300</u>
Federal	<u>120,000</u>	<u>132,000</u>	<u>145,200</u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u>150,000</u>	\$ <u>165,000</u>	\$ <u>181,500</u>

FLEET INVENTORY
(Transit Vehicle Owners Only)

Make & Model	Production Year	# of Veh.	Fuel Type	Seat Capacity	Special Features			
					AC	EP	WC	Other
Chev st. wag	1989	2	gas	5	x			
Dodge Caravan	1989	1	gas	7	x		x	
Dodge Diplomat	1989	3	gas	4	x			
Dodge Caravan	1991	1	gas	7	x		x	
Chev st. wag	1992	2*	gas	5	x			
* one of these was purchased in 1992-93 fiscal year and is included in this claim.								
TOTAL	XXXXXXXXXX		XXXXX					

Vehicles to be Purchased in FY 1992-93

minibus	1992 or 93	3	gasoline	12	x		x	
station wagon	1992	1	gasoline	5	x			

C = Air Conditioned
EP = Environmental Package
WC = Wheelchair Lift

Article 4 Operator TDA Requirements

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMTD only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

A. What is this system's required farebox recovery ratio?

20%

B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMTD its farebox plus local support ratios? NO

C. Has this system utilized its grace year? NO

D. Has this system been in non-compliance with its required ratio(s)? NO

If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation.

B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed? NO

If so, has the required report been submitted for the most recently completed full fiscal year? If not, that report must accompany this claim.

4. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

Labor costs, maintenance costs and insurance cost are all increased because the City has taken over the operation of the system. These additional costs are all offset by the reduction of purchase transportation.

506 - additional vehicle and replacing smaller vehicles with larger

503 - SERVICES

Increased advertising
consulting services to assist in filing for ISTEA funds
phone and utility costs as a result of doing an in-house operation

5. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

The purchase of minibuses is designed to increase capacity and to have equipment on hand to begin a pilot fixed route system.

SPECIAL NOTES FOR RATIO CALCULATIONS

SMTD - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Lodi - Exclude County service when calculating fares and expenses.

County- Exclude Lathrop service when calculating fares and expense.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin County Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The COG Board has established a two-step process.

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. "Operating cost" is defined as in the TDA statutes and regulations. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Executive Board will adopt the systemwide operating cost per passenger objective for the fiscal year of the claim.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In the case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

a. What was the level of TDA operating funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ 29,285

b. Does the attached budget information demonstrate at least a 10% match of non-TDA funds in FY 1991-92?

Does the FY 1992-93 budget demonstrate a 10% match of non-TDA funds?

c. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? NO

d. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year?
(An affirmative answer should be documented in Part "e".)

- e. What was last year's Operating Cost per Passenger Objective? _____

What was the actual operating cost per passenger?

- i. FY 1991-92 Operating Cost \$ _____
ii. Total Passengers _____
iii. Operating Cost Per Passenger
(i / ii) \$ _____

- f. What is the Operating Cost per Passenger Objective for this claim?

- iv. Budgeted Operating Cost \$ _____
v. Estimated Total Passengers _____
vi. Projected Operating Cost
per Passenger (iv/v) \$ _____
vii. FY 1992-93 OPERATING COST PER
PASSENGER OBJECTIVE \$ _____

- viii. If this claim is for a unified transit system², has the contributing claimant been appraised of the planned systemwide objective set in vii. above?

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

4. Narrative Description (6632)

Please describe on an attached page any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities.

The City is dropping this subsidy in September 1992

²If this claim is for a unified transit system (definition page 19), all calculations and numbers for operating costs per passenger must include system totals. Also contributing claimants to unified transit systems should not use page 17 or 18, use page 19 instead.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

Project Title and Description	Project Limits	<u>LTF Cost</u> Total Cost
TDA - 128 FUND (BICYCLE & PD.)		
SIDEWALK REPLACEMENTS		\$ 21,000
		\$ 21,000
TDA - 124 FUND (STREET PROJECTS)		
. Cherokee Lane - Kettleman to Delores		\$181,000
. Overlay analysis design		5,000
Hutchins Street widening Lodi/Pine		285,000
. Handicap retrofits		15,000
Church St. Improvements, Kettleman to Vine		232,000
Houston Lane overlay		45,000
Street maintenance		321,000
Miscellaneous widening		50,000
Miscellaneous curb and gutter replacements		20,000
Miscellaneous sidewalk replacements		29,000
Highway 12/Lower Sacramento Road improvements		50,000
Traffic signals - Turner Rd/Lwr Sacramento Rd		100,000
Cherokee Lane/Hale Rd		150,000
Kettleman Lane/Central Ave.		100,000
Turner Rd/Stockton St		100,000
Stockton St. Improvements, Lodi to Lockeford		275,000
Tokay Street Improvements, Hutchins to Fairmont		75,000
Tokay Street Improvements, Church to Hutchins		55,000
	TOTAL PROJECT	\$2,088,000
TDA funds available for Streets & Roads		
	<u>LTF COST:</u>	
	TOTAL COST:	

(Use additional page if necessary)

CITY COUNCIL

PHILLIP A. PENNINO, Mayor
JACK A. SIEGLOCK
Mayor Pro Tempore
RAY G. DAVENPORT
STEPHEN J. MANN
JOHN R. (Randy) SNIDER

CITY OF LODI

CITY HALL, 221 WEST PINE STREET
P.O. BOX 3006
LODI, CALIFORNIA 95241-1910
(209) 334-5634
FAX (209) 333-8795

THOMAS A. PETERSON
City Manager
ALICE M. REIMCHE
City Clerk
BOB McNATT
City Attorney

January 12, 1993

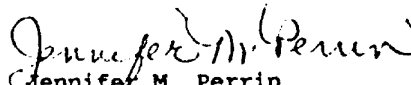
San Joaquin County
Council of Governments (COG)
Attention: Nancy Miller
P.O. Box 1010
Stockton, CA 95201-1010

Dear Ms. Miller:

Enclosed please find signed copy of the Transportation Development Act Claim and Resolution No. 93-03 entitled, "A Resolution of the Lodi City Council Approving the Supplemental 1992/93 Transportation Development Act Claim for Local Transportation Funds" which was adopted at the City Council meeting of January 6, 1993.

Please have the Transportation Development Act Claim signed and returned to our office at your earliest convenience. If you have any questions regarding this matter, please feel free to contact our office.

Very truly yours,


Jennifer M. Perrin
Deputy City Clerk

JMP

Attachment

RESOLUTION NO. 93-03
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A RESOLUTION OF THE LODI CITY COUNCIL
APPROVING THE SUPPLEMENTAL 1992/93
TRANSPORTATION DEVELOPMENT ACT CLAIM
FOR LOCAL TRANSPORTATION FUNDS

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BE IT FURTHER RESOLVED, that the Lodi City Council does hereby authorize the City Manager to execute the subject Claim on behalf of the City of Lodi.

Dated: January 6, 1993

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I hereby certify that Resolution No. 93-03 was passed and adopted by the Lodi City Council in a regular meeting held January 6, 1993 by the following vote:

Ayes: Council Members - Mann, Sieglock, Snider and Pennino
(Mayor)

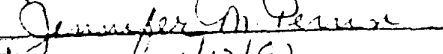
Noes: Council Members - Davenport

Absent: Council Members - None


Alice M. Reimche
City Clerk

The foregoing Document is Certified
To Be A Correct Copy Of The Original
kept in This Office.

Jennifer M. Perrin
Deputy City Clerk, City Of Lodi

By 
Dated: 1/12/92

93-03

TO: San Joaquin County Council of Governments
P.O. Box 1010
Stockton, CA 95201-1010

SHARON BLAUFUS, ADMINISTRATIVE ASSISTANT-PUBLIC WORKS
Contact Person: JERRY L. GLENN Phone: 333-6701
ASSISTANT CITY MANAGER

Date: Jan 6 1993

LOCAL TRANSPORTATION FUND

TO: San Joaquin County Council of Governments
P.O. Box 1010
Stockton, CA 95201-1010

FROM: Applicant: CITY OF LODI
Address: CITY HALL, 221 WEST PINE STREET, LODI, CA 95240
(City, Zip)
Contact Person: JERRY L. GLENN Phone: 333-6601
ASSISTANT CITY MANAGER

The City of Lodi hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$ 1,220,297 for fiscal year 1992-93, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: BARTON MEAYS

Title: Executive Director

Date: 19

Applicant: CITY OF LODI

Signed: Thos. A. Peterson

Name: Thomas A. Peterson
City Manager

Title:

Date: Feb 26 19 93

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

A. Area Apportionment 1992-93	\$	<u>969,412</u>
B. Pedestrian/Bicycle Apportionment		<u>20,196</u>
C. Previous Years' Unclaimed Apportionment		<u> </u>
D. Unexpended Carryover XXXXXX Streets		<u>194,662</u>
Transit		
E. Total Available for 1992-93 Claim(s)		<u>1,220,297</u>
F. Less any LTF Already Claimed 1992-93		<u>-0-</u>
G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 1st column)	\$	<u>1,220,297</u>

II. State Transit Assistance Fund Available Apportionment

A. Area Apportionment 1992-93	\$	<u>46,587</u>
B. Special Operator Apportionment 1992-93		<u>1,124</u>
C. Previous Years' Unclaimed Apportionment		<u>-0-</u>
D. Unexpended Carryover		<u>50,519</u>
E. Minus 2% of A. Claimed on Behalf of COG for Transit Planning	(<u>932</u>)
F. Total Available for 1992-93 Claim(s)		<u>97,298</u>
G. Less any STA Already Claimed 1992-93		<u>-0-</u>
H. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 2nd column)	\$	<u>97,298</u>

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

<u>Claim Purpose</u>	<u>I. LTF</u>	<u>II. STA</u>
I. PUBLIC TRANSPORTATION		
Article 4 (99260)-Operator ¹	<u>321,657</u>	<u>97,298</u>
Article 8 (99400(c)) Contractor operating	<u>5,300</u>	<u> </u>
Article 8 (99400(e)) Contractor capital	<u> </u>	<u> </u>
II. PEDESTRIAN AND BICYCLE		
Article 3 (99234)	<u>21,000</u>	<u>N/A</u>
III. ROADS AND STREETS		
Article 8 (99400(a))	<u>872,340</u>	<u>N/A</u>
IV. OTHER		
Article 8 (99400(b) or 99400(d))	<u> </u>	<u>N/A</u>
////////////////////////////////////		
TOTAL THIS CLAIM	<u> </u>	<u>97,298</u>
TOTAL AVAILABLE FOR THIS CLAIM (from page 7, I. and II. G.)	<u>1,220,297</u>	<u>97,298</u>
UNCLAIMED APPORTIONMENT (TOTAL AVAIL. less TOTAL THIS CLAIM)	<u>-0-</u>	<u>-0-</u>

IMPORTANT: To avoid accidental overpayment, please identify in the space below any unexpended carryover included in the amounts being claimed above. Identify the amount of carryover and the purpose for which it is being reclaimed.

Transit operations

1. Operators claiming STA funds must meet qualifying criteria (PUC Section 99314.6). Page 17 of this form must be completed.

PART I - PUBLIC TRANSPORTATION

Please Circle either:

Article 4 Operator

Article 8 contractor

FINANCIAL INFORMATION

		1991-92	1992-93
		Please Circle	
		ACTUAL or ESTIMATE	Budget
I.	OPERATING REVENUE		
401	Passenger Fares	44,394	49,395
402	Special Transit Fares		4,500
405	Charter Service Revenues		
406	Auxiliary Transportation Revenues (includes advertising)		
407	Non-Transportation Revenues	7,716	5,000
408	Tax Revenue (Specify:)		
	Property Tax		
	Sales Tax (not TDA)		
409	Local Grants & Reimbursements		
	Purchase of Service	15,895	20,800
	Local Transportation Fund(LTF)	313,965	268,105
410	Local Special Fare Assistance		
411	State Cash Grants & Reimbursements		
	State Transit Assistance (STA)		
	Other		
412	State Special Fare Assistance		
413	Federal Grants & Reimbursements (Specify) UMTA Grants		
430	Contributed Services (Not Cash)		
440	Subsidy from other Sector of Operations		
	TOTAL	381,970	347,800
II.	CAPITAL REVENUE		
464	Capital Grants & Subsidies		
	Specify Fed, State, Local:		
	State Transit Assistance (STA)	67,000	97,298
	Local Transportation Fund (LTF)	3,709	53,552
	Non-Governmental Donations		
	TOTAL	72,701	150,850

III. OPERATING EXPENSES

1991-92
Please Circle
Actual or Estimate 1992-93
Budget

501	Labor		
	Operators Salaries/Wages		165,865
	Other Salaries/Wages		18,100
502	Fringe Benefits		22,885
503	Services	1,255	14,700
504	Materials/Supplies		
	Fuels/Lubricants		17,000
	Tires/Tubes		2,000
	Other	14,921	31,000
505	Utilities		
506	Casualty/Liability Costs	30,473	35,000
507	Taxes		
508	Purchased Transportation Service	310,001	41,250
509	Miscellaneous Expenses	1,196	
510	Expense Transfers		
511	Interest Expense		
512	Leases and Rentals		
513	Depreciation/Amortization		
	Operator Funds		
	Grant Funds		
	TOTAL	357,846	347,800

IV. CAPITAL EXPENSES*

	Debt Service		
	Land/Property Acquisition		
	Vehicles	18,481	140,850
	Construction		
	Other		10,000
	TOTAL	18,481	150,850

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

OPERATIONAL INFORMATION*

	Actual FY 1990-91	Actual/Est. FY 1991-92	Proposed FY 1992-93
1. <u>Patronage</u>			
a. Total Passengers	85,343	89,436	94,200
COUNTY			
b. Revenue Passengers	4,213	3,460	4,000
CDBG			
c. Youth Passengers	147	1,015	1,200
GENERAL PUBLIC			
d. Elderly Passengers	8,712	3,275 (1)	9,790
ELDERLY &			
e. Handicapped Passengers	72,271	75,306 (1)	79,210
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles	178,488	184,673	193,000
b. Revenue Vehicle Miles	178,488	184,673	193,000
3. <u>Revenue Vehicle Hours</u>	14,930	16,576	17,400
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Diesel			
b. Gasoline	15,467	16,931	18,550
5. <u>Fare Structure</u>			
a. Base	1.00	1.00	1.00
b. Zone			
c. Youth			
d. Senior	.50	.50	.50
e. Handicapped	.50	.50	.50
f. Monthly Pass			
g. Other CDBG (2)	4.25	4.25	4.25
h. Average Fare	.59	not valid (1)	.66

(1) Mid year contractor discontinued separating general public from elderly and handicapped.

(2) Tickets fully paid for from CDBG funds.

*Attach additional pages as necessary to alter or complete description

PART I - PUBLIC TRANSPORTATION

Please Circle either:

Article 4 Operator

Article 8 Contractor

FINANCIAL INFORMATION

		1991-92 Please Circle ACTUAL or ESTIMATE	1992-93 Budget
I.	OPERATING REVENUE		
401	Passenger Fares	_____	_____
402	Special Transit Fares	_____	_____
405	Charter Service Revenues	_____	_____
406	Auxiliary Transportation Revenues (includes advertising)	_____	_____
407	Non-Transportation Revenues	_____	_____
408	Tax Revenue (Specify:)		
	Property Tax	_____	_____
	Sales Tax (not TDA)	_____	_____
409	Local Grants & Reimbursements		
	Purchase of Service	_____	_____
	Local Transportation Fund(LTF)	29,285	5,300
410	Local Special Fare Assistance	_____	_____
411	State Cash Grants & Reimbursements		
	State Transit Assistance (STA)	_____	_____
	Other _____	_____	_____
412	State Special Fare Assistance	_____	_____
413	Federal Grants & Reimbursements (Specify) UMTA Grants	_____	_____
430	Contributed Services (Not Cash)	_____	_____
440	Subsidy from other Sector of Operations	_____	_____
	TOTAL	29,285	5,300
II.	CAPITAL REVENUE		
464	Capital Grants & Subsidies		
	Specify Fed, State, Local:		
	_____	_____	_____
	State Transit Assistance (STA)	_____	_____
	Local Transportation Fund (LTF)	_____	_____
	Non-Governmental Donations	_____	_____
	TOTAL	_____	_____

III. OPERATING EXPENSES

1991-92
Please Circle
Actual or Estimate 1992-93
Budget

501	Labor		
	Operators Salaries/Wages	_____	_____
	Other Salaries/Wages	_____	_____
502	Fringe Benefits	_____	_____
503	Services	_____	_____
504	Materials/Supplies		
	Fuels/Lubricants	_____	_____
	Tires/Tubes	_____	_____
	Other	_____	_____
505	Utilities	_____	_____
506	Casualty/Liability Costs	_____	_____
507	Taxes	_____	_____
508	Purchased Transportation Service	29,285	5,300
509	Miscellaneous Expenses	_____	_____
510	Expense Transfers	_____	_____
511	Interest Expense	_____	_____
512	Leases and Rentals	_____	_____
513	Depreciation/Amortization		
	Operator Funds	_____	_____
	Grant Funds	_____	_____
	TOTAL	29,285	5,300

IV. CAPITAL EXPENSES*

Debt Service	_____	_____
Land/Property Acquisition	_____	_____
Vehicles	_____	_____
Construction	_____	_____
Other	_____	_____
TOTAL	_____	_____

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

OPERATIONAL INFORMATION*

	Actual FY 1990-91	Actual/Est. FY 1991-92	Proposed FY 1992-93
1. <u>Patronage</u>			
a. Total Passengers	18,653	19,523	3,533
b. Revenue / Passengers			
c. Youth Passengers			
d. Elderly Passengers			
e. Handicapped Passengers			
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles			
b. Revenue Vehicle Miles			
3. <u>Revenue Vehicle Hours</u>	8,760	8,760	3,533
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Diesel			
b. Gasoline			
5. <u>Fare Structure</u>			
a. Base			
b. Zone			
c. Youth			
d. Senior			
e. Handicapped			
f. Monthly Pass			
g. Other			
h. Average Fare			

*Attach additional pages as necessary to alter or complete description

THREE YEAR FISCAL PLAN

	1993-94	1994-95	1995-96
Operating Expenses	\$ <u>345,500</u>	\$ <u>371,400</u>	\$ <u>399,250</u>
Operating Revenues:			
Sources: LTF	\$ <u>134,250</u>	\$ <u>145,900</u>	\$ <u>158,500</u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u>134,250</u>	<u>145,900</u>	<u>158,500</u>
Fares	<u>55,000</u>	<u>56,375</u>	<u>57,775</u>
General Fund	<u> </u>	<u> </u>	<u> </u>
Other	<u>27,000</u>	<u>28,350</u>	<u>29,750</u>
Total	\$ <u>696,000</u>	\$ <u>747,925</u>	\$ <u>803,775</u>
Capital Expenses	\$ <u>150,000</u>	\$ <u>165,000</u>	\$ <u>181,500</u>
Capital Revenue			
Sources: LTF	\$ <u> </u>	\$ <u> </u>	\$ <u> </u>
STA	<u>30,000</u>	<u>33,000</u>	<u>36,300</u>
Federal	<u>120,000</u>	<u>132,000</u>	<u>145,200</u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u>150,000</u>	\$ <u>165,000</u>	\$ <u>181,500</u>

FLEET INVENTORY
(Transit Vehicle Owners Only)

Make & Model	Production Year	# of Veh.	Fuel Type	Seat Capacity	Special Features			
					AC	EP	WC	Other
Chev st. wag	1989	2	gas	5	x			
Dodge Caravan	1989	1	gas	7	x		x	
Dodge Diplomat	1989	3	gas	4	x			
Dodge Caravan	1991	1	gas	7	x		x	
Chev st. wag	1992	2*	gas	5	x			
* one of these was purchased in 1992-93 fiscal year and is included in this claim.								
TOTAL	XXXXXXXXXX		XXXXXX					

Vehicles to be Purchased in FY 1992-93

minibus	1992 or 93	3	gasoline	12	x		x	
station wagon	1992	1	gasoline	5	x			

C = Air Conditioned
EP = Environmental Package
WC = Wheelchair Lift

Article 4 Operator TDA Requirements

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMTD only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

A. What is this system's required farebox recovery ratio?

20%

B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMTD its farebox plus local support ratios? NO

C. Has this system utilized its grace year? NO

D. Has this system been in non-compliance with its required ratio(s)? NO

If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation.

B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed? NO

If so, has the required report been submitted for the most recently completed full fiscal year? If not, that report must accompany this claim.

4. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

Labor costs, maintenance costs and insurance cost are all increased because the City has taken over the operation of the system. These additional costs are all offset by the reduction of purchase transportation.

506 - additional vehicle and replacing smaller vehicles with larger

503 - SERVICES

increased advertising

consulting services to assist in filing for ISTEAF funds

phone and utility costs as a result of doing an in-house operation

5. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

The purchase of minibuses is designed to increase capacity and to have equipment on hand to begin a pilot fixed route system.

SPECIAL NOTES FOR RATIO CALCULATIONS

SMTD - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Lodi - Exclude County service when calculating fares and expenses.

County - Exclude Lathrop service when calculating fares and expense.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin County Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The COG Board has established a two-step process.

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. "Operating cost" is defined as in the TDA statutes and regulations. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Executive Board will adopt the systemwide operating cost per passenger objective for the fiscal year of the claim.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In the case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

- a. What was the level of TDA operating funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ 29,285
- b. Does the attached budget information demonstrate at least a 10% match of non-TDA funds in FY 1991-92? _____
Does the FY 1992-93 budget demonstrate a 10% match of non-TDA funds? _____
- c. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? NO
- d. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year? _____
(An affirmative answer should be documented in Part "e".)

- e. What was last year's Operating Cost per Passenger Objective? _____

What was the actual operating cost per passenger?

- i. FY 1991-92 Operating Cost \$ _____
 - ii. Total Passengers _____
 - iii. Operating Cost Per Passenger
(i / ii) \$ _____
- f. What is the Operating Cost per Passenger Objective for this claim?
- iv. Budgeted Operating Cost \$ _____
 - v. Estimated Total Passengers _____
 - vi. Projected Operating Cost
per Passenger (iv/v) \$ _____
 - vii. FY 1992-93 OPERATING COST PER
PASSENGER OBJECTIVE \$ _____
 - viii. If this claim is for a unified transit system², has
the contributing claimant been appraised of the
planned systemwide objective set in vii. above?

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

4. Narrative Description (6632)

Please describe on an attached page any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities.

The City is dropping this subsidy in September 1992

²If this claim is for a unified transit system (definition page 19), all calculations and numbers for operating costs per passenger must include system totals. Also contributing claimants to unified transit systems should not use page 17 or 18, use page 19 instead.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

Project Title and Description	Project Limits	<u>LTF Cost</u> Total Cost
TDA - 128 FUND (BICYCLE & PD.)		
SIDEWALK REPLACEMENTS		\$ 21,000 \$ 21,000
 TDA - 124 FUND (STREET PROJECTS)		
. Cherokee Lane - Kettleman to DeTours		\$181,000
. Overlay analysis design		5,000
Hutchins Street widening Lodi/Pine		285,000
. Handicap retrofits		15,000
Church St. Improvements, Kettleman to Vine		232,000
Houston Lane overlay		45,000
Street maintenance		321,000
Miscellaneous widening		50,000
Miscellaneous curb and gutter replacements		20,000
Miscellaneous sidewalk replacements		29,000
Highway 12/Lower Sacramento Road improvements		50,000
Traffic signals - Turner Rd/Lwr Sacramento Rd		100,000
Cherokee Lane/Hale Rd		150,000
Kettleman Lane/Central Ave.		100,000
Turner Rd/Stockton St		100,000
Stockton St. Improvements, Lodi to Lockeford		275,000
Tokay Street Improvements, Hutchins to Fairmont		75,000
Tokay Street Improvements, Church to Hutchins		55,000
 TOTAL PROJECT		 \$2,088,000
 TDA funds available for Streets & Roads		
		 <u>LTF COST:</u> TOTAL COST:

(Use additional page if necessary)